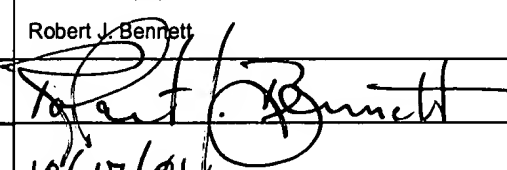



PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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2122\$

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/747,824
		Filing Date	December 22, 2000
		First Named Inventor	Simons, John
		Group Art Unit	2122
		Examiner Name	Unassigned
Total Number of Pages in This Submission	12	Attorney Docket Number	16869C-017000US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PRELIMINARY AMENDMENT TRANSMITTAL FORM, and Return Postcard
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP Robert J. Bennett Reg. No. 27,533
Signature	
Date	10/17/01

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <div>October 17, 2001</div>		
Typed or printed name	Sherry Barton	
Signature		Date October 17, 2001

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SF 1282044 v1

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PTO/SB/17 (09-00)
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL **for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/747,824
 Filing Date December 22, 2000
 First Named Inventor Simons, John
 Examiner Name Unassigned
 Group Art Unit 2122
 Attorney Docket No. 16869C-017000US

TOTAL AMOUNT OF PAYMENT (\$) 168

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
8	-20**	0	\$0
5	-3**	2	\$84

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$168)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Robert J. Bennett

Registration No. (Attorney/Agent)

27,533

Telephone

415-576-0200

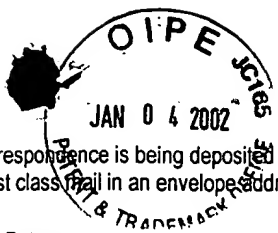
Signature

Date

10/17/01

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#4/A
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1-16-02

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PATENT

Assistant Commissioner for Patents,
Washington, D.C. 20231, on

October 17, 2001

Attorney Docket No.: 16869C017000

TOWNSEND and TOWNSEND and CREW LLP

By Sherry Barton
Sherry Barton

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Simons

Serial No.: 09/747,824

Filed: December 22, 2000

For: ASSEMBLY LANGUAGE CODE
COMPILATION FOR AN
INSTRUCTION-SET ARCHITECTURE
CONTAINING NEW INSTRUCTIONS
USING THE PRIOR ASSEMBLER

Examiner: Unassigned

Art Unit: 2122

Batch No.: Unassigned

PRELIMINARY AMENDMENT

RECEIVED
JAN 11 2002
Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks.

IN THE SPECIFICATION:

Please replace the paragraph at page 1, lines 6-8 with:

A1
The present invention relates generally to compilation of assembly language source code to produce machine-readable object code, and more particularly to compilation of source code having new assembly-language instructions, using an old assembler.

Please replace the paragraph at page 2, lines 12-27 with:

A2
Unfortunately, this development effort usually has different groups of designers working on different aspects of the design. That is, development of the new simulator tool (ISS 14') for the new ISA is often the responsibility of the team that is also responsible for developing the new.